



PCH

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Wolf-Georg FORSSMANN et al.

Serial No.: 09/508,083

Group Art Unit: 1614

Filed: July 3, 2000

For: COMPOSITION FOR THE THERAPY OF DIABETES MELLITUS AND ADIPOSITY

REQUEST FOR FILING RECEIPT CORRECTION

Assistant Commissioner
for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

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Sir:

Please correct the original filing receipt, copy attached, for the above-identified application as follows:

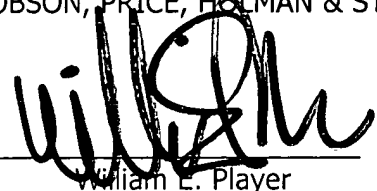
correct Total Number of Claims by replacing "34" with --37--, add Foreign Filing License Granted to reflect: --SMALL ENTITY--

and correct Title by replacing "Composition for Treating Diabetes Mellitus and Obesity" with --COMPOSITON FOR THE THERAPY OF DIABETES MELLITUS AND ADIPOSITY--.

Kindly return the "Corrected" filing receipt to the undersigned attorneys of record.

Respectfully submitted,

JACOBSON, PRICE, HOLMAN & STERN, PLLC

By: 
William E. Player
Reg. No. 31,409

400 Seventh Street, N.W.
Washington, D.C. 20004-2201
(202) 638-6666
Atty. Docket: P65123US0

FILING RECEIPT

OC00000005309468

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/508,083	07/03/2000	1614	638	P65123US0	-	34 -- 37 --	3

00136
JACOBSON PRICE HOLMAN & STERN
THE JENIFER BUILDING
400 SEVENTH STREET N W
WASHINGTON, DC 200042201



Date Mailed: 08/09/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

WOLF GEORG FORSSMANN, HANNOVER, GERMANY;
RUDOLF RICHTER, HANNOVER, GERMANY;
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Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/EP98/05804 09/11/1998

Foreign Applications

GERMANY 197 40 081.7 09/12/1997
GERMANY 197 57 739.3 12/23/1997
GERMANY 198 10 515.0 03/11/1998

If Required, Foreign Filing License Granted 08/09/2000

-- SMALL ENTITY --

Title

COMPOSITION FOR TREATING DIABETES MELLITUS AND OBESITY

Preliminary Class

514

-- COMPOSITION FOR THE THERAPY OF DIABETES
MELLITUS AND ADIPOSITY --

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JACOBSON, PRICE, HOLMAN & STERN
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400 SEVENTH STREET, N.W.
WASHINGTON, DC 20004

Attorney's Docket No. 8851231US0

SMALL ENTITY DECLARATION
[37 CFR 1.9(c-f)]



Each undersigned declares that:

- (1) ☐ the application attached hereto.
- (2) ☒ U.S. Application Serial No. 88508083, filed March 13, 2000
- (3) ☐ U.S. Patent No. _____ issued _____
- is entitled to the benefits of "small entity" status for paying reduced fees under 35 USC 41(a) and (b) to the Patent and Trademark Office by virtue of the following:
- (4) ☐ Each undersigned declares that he/she qualified as an independent inventor, or would qualify had he/she made the as defined in 37 CFR 1.9(c).
- (5) ☒ The undersigned declares that he/she is an official empowered to act on behalf of the concern identified below; that concern qualifies as a small business concern as defined in 37 CFR 1.9(d); that exclusive rights to the invention have been conveyed to and remain with the small business concern, or if the rights are not exclusive, that all other rights belong to small entities as defined in 37 CFR 1.9.
- (6) ☐ The undersigned declares that he/she is an official empowered to act on behalf of the organization identified below; that organization qualifies as a nonprofit organization as defined in:
- (a) ☐ 37 CFR 1.9(e)(1)
- (b) ☐ 37 CFR 1.9(e)(2)
- (c) ☐ 37 CFR 1.9(e)(3)
- (d) ☐ 37 CFR 1.9(e)(4) State law of _____
- that exclusive rights to the invention have been conveyed to and remain with the organization, or if the rights are not exclusive, that all other rights belong to organizations as defined in 37 CFR 1.9.
- (7) Each person, concern or organization to which I/we have assigned, granted, conveyed or licensed, or am under an under contract or law to assign, grant, convey, or license any rights in the invention is listed below:
- (a) ☒ no such person, concern or organization
- (b) ☐ persons, concerns or organization listed below
[a separate declaration is required from each named person, concern or organization having rights to this invention averring to their status as "small entities."]

Full Name _____

Address _____

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organization

We acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement of small entity prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I/we hereby declare all statements made herein of his/her own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this declaration is directed.

(8)

Typed Name of Inventor	Signature	Date
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

(9)

Typed Name	Signature	Date
Walt-Georg PORSMANN	_____	11/05/00

Name of Small Business Concern or Nonprofit Organization _____

Title of Signatory _____

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